

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 7	2 7

 to

YYYY	MM	DD
2 0 1 9	0 3	2 9

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Tandon

Given Name(s)

Ajay

Office for which the candidate sought election

Regional Councillor

Ward name or no. (if any)

7 and 8

Municipality

Brampton

Spending Limit - General

\$ 59,221.00

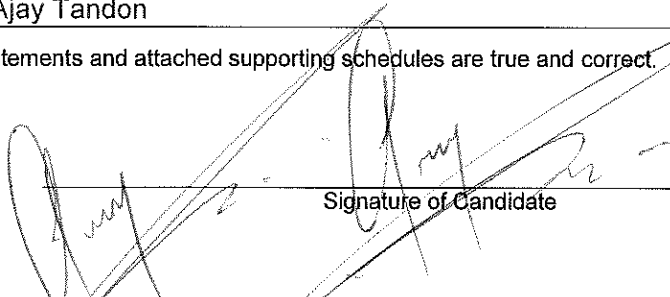
Spending Limit - Parties and Other Expressions of Appreciation

\$ 5922.00

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Ajay Tandon, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2019/03/29

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2019/03/29

Time Filed

1:31 pm

Initial of Candidate or Agent (if filed in person)

AT

Signature of Clerk or Designate

SDanton

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____
 Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	22,283.79
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	22,283.79 c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	_____
Advertising	+ \$	3,992.93
Brochures/flyers	+ \$	_____
Signs (including sign deposit)	+ \$	475.00
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	170.66
Phone and/or internet expenses incurred until voting day	+ \$	423.80
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	5,000.00
Bank charges incurred until voting day	+ \$	220.65
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. Others - campaign office furniture	+ \$	418.61
2. Others - appreciation for volunteers	+ \$	3,597.01
3. Others - bank charges after voting day	+ \$	175.00
4. Others - campaign office rent	+ \$	2,500.00
5. Others - vehicle expenses	+ \$	3,219.72
Total Expenses subject to general spending limit	= \$	20,193.38 c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	_____ C3

Expenses not subject to spending limits

Accounting and audit	+ \$	2,090.50	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$		
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Total Expenses not subject to spending limits	= \$	2,090.50	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 22,283.88 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	-0.09	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 – D2)	= \$	-0.09	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	-0.09	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

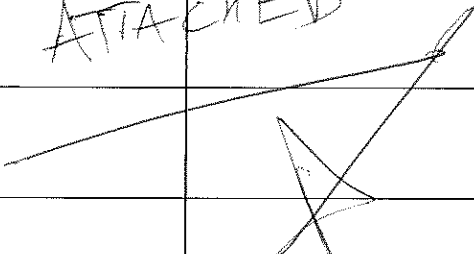
Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 1,890.43
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 700.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 19,693.36
Less: Contributions returned or payable to the contributor	– \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$ _____
Total Amount of Contributions (record under Income in Box C)	= \$ 22,283.79 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
<p>PLEASE SEE ATTACHED</p> 				

Additional information is listed on separate supplementary attachment

Total

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment **Total**

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) \$ **1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total	

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total			

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$ _____	2A	
Number of tickets sold	X _____	2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)			= \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	

Total Part II (include in Part 1 of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____	+ \$ _____	
2. _____	+ \$ _____	
3. _____	+ \$ _____	
4. _____	+ \$ _____	
5. _____	+ \$ _____	
6. _____	+ \$ _____	
7. _____	+ \$ _____	
8. _____	+ \$ _____	

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/03/29

Contact Information

Last Name or Single Name

Sheik

Given Name(s)

Fareed

Licence Number

378292

Address

Suite/Unit No.

100

Street No.

3034

Street Name

Palstan Road

Municipality

Mississauga

Province

Ontario

Postal Code

L4Y 2Z6

Telephone No. (including area code)

905 896-4449

Email Address

fareed@fareed.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Address	Date of receipt	Amount
Rishi Kapoor	3 Beechmont Dr, Brampton, ON L6Y 0X1	18-Sep-18	\$ 500
Rod Rice	75 Charles street, Georgetown, ON L7G 2Z6	19-Sep-18	\$ 1,000
Amarjeet Mann	8 Rae ave, Brampton, ON L6P 0E9	24-Sep-18	\$ 250
Fenyrose Romano	131-170 Ave road, Toronto, ON M5R 0A4	16-Sep-18	\$ 1,200
Jessica Ramano	99 Sir Modesto crescent, Maple ON, L6A 4G2	16-Aug-18	\$ 1,200
Monruz Zaman	Thornecliffe park dr, Toronto, ON M4H 1L7	03-Sep-18	\$ 1,200
Giovanni Angelucci	274 Macpherson ave, Toronto, ON M4V 1A3	15-Aug-18	\$ 1,200
Gaetano Franco	236 King Summit road, King city, Toronto, ON L7B 1A3	15-Aug-18	\$ 1,200
Ajit Someshwar	1612-150 York street, Toronto, ON M5H 3S5	04-Oct-18	\$ 1,200
Sanjay kumar Arora	34 Cherry plum way, Brampton, ON L6S 6J4	04-Oct-18	\$ 200
Deepak Shamnani	20 seacliff crescent, Brampton, ON L6T 0G4	30-Sep-18	\$ 500
Krishna R Chotai	3305 Greenburn PL, Locust hill, ON L0H 1J0	27-Sep-18	\$ 1,200
John Attrell	21 viewmount crescent, Brampton, ON L6Z 4R5	09-Oct-18	\$ 500
Sanjay Bansal	14 Tetbury crescent, Toronto, ON M3A 3G3	11-Aug-18	\$ 1,100
Baldev Kapil	862 conservation drive, Brampton, L6Z 0C1	27-Aug-18	\$ 1,200
John Cutruzzola	105 Heart Lake Rd, Brampton, ON L6W 3K1	24-Aug-18	\$ 1,200
JR Arora	15 Thomas ave, Toronto, ON M1C 1E4	19-Oct-18	\$ 1,101
Deepika Bhalla	3183 Velmar Drive, Mississauga, ON, L5M 6V7	24-Sep-18	\$ 101
Swati Cavale	3203 Shade Tree Drive, Mississauga, ON, L5N 6P4	24-Sep-18	\$ 250
Janak Bhavnani	5912 Hemmingway Rd, Mississauga, ON, L5N 5K8	24-Sep-18	\$ 151
Pradeep Sood	92, Waterbridge Lane, Unionville, ON, L3R 8V3	24-Sep-18	\$ 500
Surjit Babra	45 Kensington dr, Richmond Hill, ON, L4E 3S7	24-Sep-18	\$ 250
Surjit Babra	45 Kensington dr, Richmond Hill, ON, L4E 3S7	24-Sep-18	\$ 250
Ranvir Chatha	10 Maltby ct, Brampton, ON, L6P 1A5	12-Oct-18	\$ 400
Darshan Chatha	9 Evergreen Ave, Brampton, ON L6P 0P8	12-Oct-18	\$ 400
Sanjay Makkar	27 Grouselane, Brampton, ON, L6Y 5K9	24-Sep-18	\$ 150

Attention is drawn to basis of qualification and option paragraphs of the audit report enclosed with the Form 4 - financial statements



Fareed Sheik & Co
Chartered Professional Accountants
AUDIT • TAX • ACCOUNTING

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INDEPENDENT AUDITOR'S REPORT

To
City Clerk
City of Brampton

Report on the Audit of the Financial Statements

We have audited the Ontario Municipal Election's Act financial statement report for the municipal election campaign of **Ajay Tandon**, a candidate for the Council election of **City of Brampton** from **ward number 7 and 8**, for the period **July 27, 2018 to March 29, 2019**, with the criteria established by the Ontario Municipal Elections. The criteria includes Form 4 – Financials Statement, as set out in the attached document. Compliance with the criteria established by the provisions of the agreement is the responsibility of the municipal election candidate (**Ajay Tandon**). Our responsibility is to express an opinion on this financial information based on our audit.

Basis of qualification

We could not obtain sufficient and appropriate evidence supporting contributions received from contributors aggregating to \$ 1,290. As a result, we were not able to verify the nature of contributions received and consequently, the table 1 – Part II as required under the Form 4 cannot be verified to the extent of missing evidence.

Opinion

*We have audited the financial statements of **Ajay Tandon** (the candidate), which are laid out in form 4 as per Municipal Elections Act, 1996.*

*In our opinion, except for the matters given in the basis of qualification paragraph, the Municipal Elections Act financial statements of the election campaign of **Ajay Tandon** for the period **July 27, 2018 to March 29, 2019** are prepared, in all material respects, in accordance with the Ontario Municipal Elections Act and Form 4 – Financial Statement.*

Basis for Opinion

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the candidate in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

 **Fareed Sheik & Co**
Chartered Professional Accountants
AUDIT • TAX • ACCOUNTING



Candidate's responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of the financial statements in accordance with Ontario Municipal Elections Act and Form 4 – Financial Statement, and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Fareed Sheik & Co

Fareed Sheik & Co. CPA, C.A.,

Authorized to practice public accounting by the

Institute of Chartered Accountants of Ontario

Mississauga, Canada

Date: *29 March 2019*

