



Financial Statement - Auditor's Report Candidate - Form 4

Municipal Elections Act, 1996 (Section 88.25)

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 20180719 to 20181023

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot
Last Name or Single Name: SPROVIERI
Given Name(s): JOHN
Office for which the candidate sought election: MAYOR
Ward name or no. (if any):

Municipality: City of Brampton

Spending Limit - General: \$ 245,185.00
Spending Limit - Parties and Other Expressions of Appreciation: \$

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, JOHN SPROVIERI, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Signature of Candidate: [Handwritten Signature]

Date (yyyy/mm/dd): MAY 1 - 2019

Date Filed (yyyy/mm/dd): 2019/04/29
Time Filed: 1:26pm
Initial of Candidate or Agent (if filed in person): [Handwritten Initials]
Signature of Clerk or Designate: SHANS

late filing fee not provided
auditor's report not provided SH.

Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution ROYAL BANK
Amount borrowed \$ 22,500.53

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	<u>44,250.00</u>	
Revenue from items \$25 or less	+ \$	<u> </u>	
Sign deposit refund	+ \$	<u> </u>	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	<u> </u>	
Interest earned by campaign bank account	+ \$	<u> </u>	
Other (provide full details)	+ \$	<u> </u>	
1. _____	+ \$	<u> </u>	
2. _____	+ \$	<u> </u>	
3. _____	+ \$	<u> </u>	
4. _____	+ \$	<u> </u>	
5. _____	+ \$	<u> </u>	
Total Campaign Income (Do not include loan)	= \$	<u> </u>	C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	<u>6,760.00</u>	
Advertising	+ \$	<u>33,077.66</u>	
Brochures/flyers	+ \$	<u>10,867.50</u>	
Signs (including sign deposit)	+ \$	<u>1,005.70</u>	
Meetings hosted	+ \$	<u>2,087.32</u>	
Office expenses incurred until voting day	+ \$	<u>3,544.08</u>	
Phone and/or internet expenses incurred until voting day	+ \$	<u>654.27</u>	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	<u>1,264.00</u>	
Bank charges incurred until voting day	+ \$	<u> </u>	
Interest charged on loan until voting day	+ \$	<u> </u>	
Other (provide full details)	+ \$	<u> </u>	
1. _____	+ \$	<u> </u>	
2. _____	+ \$	<u> </u>	
3. _____	+ \$	<u> </u>	
4. _____	+ \$	<u> </u>	
5. _____	+ \$	<u> </u>	
Total Expenses subject to general spending limit	= \$	<u>52,500.53</u>	

EXPENSES

Expenses subject to spending limit for parties and other expressions of appreciation

1. _____	+ \$	<u> </u>	
2. _____	+ \$	<u> </u>	
3. _____	+ \$	<u> </u>	
4. _____	+ \$	<u> </u>	
5. _____	+ \$	<u> </u>	
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	<u> </u>	C3

Expenses not subject to spending limits

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Total Expenses not subject to spending limits	= \$	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ _____ **C5**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	D2
Total (D1 - D2)	= \$	0
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	
Surplus (or deficit) for the campaign	= \$	0 D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse
 Contributions in goods and services from candidate and spouse
 (include value listed in Table 3 and Table 4)

+ \$ ~~11,000.00~~ ~~11,500.00~~ ^{\$ 22,700.53}

Total value of contributions not exceeding \$100 per contributor
 • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).

+ \$

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)

• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).

+ \$

\$ 14,000.00

Less: Contributions returned or payable to the contributor
 Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25

- \$ ~~1,000.00~~

- \$

Total Amount of Contributions (record under Income in Box C)

= \$ ~~2,500.00~~ ^{\$ 8,700.53}

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
MRS. KULDIP GILL	10287 GOLDSWAY DR BRAMPTON	OCT. 1. 2018	\$ 400.00	
MR. WASEEM IQBAL	85 LEANDER ST. BRAMPTON	OCT. 10. 2018	\$ 200.00	
MR. RAHBIR LUBANA	—	OCT. 4. 2018	\$ 1,200.00	
MRS. HEDNA CHAUDHRY	MISSISSAUGA 1385 HOLLYWOOD AV.	SEPT. 10. 2018	\$ 500.00	
MR. GORD Buch	2173 TURNBERRY RD BURLINGTON	SEPT. 10. 2018	\$ 500.00	
BABETTE Buch	BURLINGTON 2173 TURNBERRY RD.	SEPT. 5. 2018	\$ 500.00	
MR. FABIO MAZZOCCO	1385 HOLLYWOOD AVE.	SEPT. 10. 2018	\$ 500.00	
MR. MATHEW GORDON Buch	2025 CLEVER AV. BURLINGTON	SEPT. 1. 2018	\$ 500.00	
MR. GURCHARAN DRAV	4 KEONE LAVE BRAMPTON	OCT. 12. 2018	\$ 500.00	
			Total	\$ 4800.00

Additional information is listed on separate supplementary attachment

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 27,700.53
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$
Less: Contributions returned or payable to the contributor	- \$ 14,000.00
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$
Total Amount of Contributions (record under Income in Box C)	= \$ 8,700.53 1A

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
LEO EDWARD ATTARO	—	OCT. 1. 2018	\$ 1,200.00	
DALBIR CHANA	37 EAGLESPRING CRT. BRAMPTON	OCT. 25. 2018	\$ 700.00	
MICHAEL GAGNON	—	NOV. 13. 2018	\$ 500.00	
MR. ANDREW WALKER	77 FORSYTHIA RD. BRAMPTON	NOV. 13. 2018	\$ 500.00	
MR. RICHARD W. DOMES	—	NOV. 21. 2018	\$ 500.00	
GURJIVAN SINGH DALWAL	33 DALERIDGE CRS BRAMPTON	SEPT. 30. 2018	\$ 1,000.00	
MR. HIMMAT SINGH DALWAL	33 DALERIDGE CRS BRAMPTON	OCT. 3. 2018	\$ 1,200.00	
MRS. KULWINDER K. BARR	23 MAJOR WILLIAM SHARPE DR.	SEPT. 30. 2018	\$ 500.00	
MR. SANDIP SINGH DALWAL	—	SEPT 30. 2018	\$ 500.00	
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	\$ 6,600.00

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ <u>22,700.53</u>
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ _____
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ _____
Less: Contributions returned or payable to the contributor	- \$ <u>\$ 14,000.00</u>
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
Total Amount of Contributions (record under Income in Box C)	= \$ <u>8,700.53</u> 1A

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
MRS. NAVNEET T. KANUR (THIND)	20 HYATT DR. BRAMPTON	OCT. 02. 2018	\$ 1,000.00	
MR. AVTAR JANTA	1 WEXFORD DR. BRAMPTON	OCT. 2. 2018	\$ 1,000.00	
MR. JOHN COTRUZZOLA	1-106 HEART LAKE RD BRAMPTON	NOV. 29. 2018	\$ 1,200.00	
JOHN SPROVIERI	10929 MC VERNON DR. BRAMPTON	DEC. 6. 2018	\$ 200.00	
DAVID LEE	MISSISSAUGA 3077 FOLKWAY DR.	OCT. 23. 2018	\$ 500.00	
ANITA A. GRASSO	17 AUBURN AVE OR THORN HILL	OCT. 10. 2018	\$ 1,000.00	
CARLO FIDANI	24 WESTMOUNT PARK RD. TORONTO	OCT. 11. 2018	\$ 500.00	
			\$ 5,400.00	
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	
			\$ 900.00	
			\$ 5400.00	

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ <u>22,700.53</u>
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ _____
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 8,700.53
Less: Contributions returned or payable to the contributor	- \$ <u>\$14,000.00</u>
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
Total Amount of Contributions (record under income in Box C)	= \$ <u>8,700.53 1A</u>

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
MR. LAKHBIR NIRWAN	49 MICHELANELO RD BRAMPTON	OCT. 20. 2018	\$ 500.00	
DR. EDWARD SIU-CHONG	3 MONTFORD DR. TORONTO	OCT. 24. 2018	\$ 1,200.00	
CATHY TOZZY	33 LYNWOOD CRES. NOBLETON	SEP. 6. 2018	\$ 1,200.00	
LORIANNE MORRA	6196 TREMAINE CRT. MISSISSAUGA	SEPT. 5. 2018	\$ 1,200.00	
MISS BONNIE FUNG	9. WALNUT GLOVE CRES. RICHMOND HILL	SEPT. 6. 2018	\$ 1,200.00	
MR. CLAUDIO MASCIOLI	—	SEPT. 6. 2018	\$ 1,200.00	
DORIS NOEL	10 JENNIFER CRES. SHARON ONT	SEPT. 6. 2018	\$ 1,200.00	
KASH ANJLA	13 LION PRIDE LANE BRAMPTON	AUG. 31. 2018	\$ 1,000.00	
MR. CARLO BAGNASSARA	—	AUG. 20. 2018	\$ 1,200.00	
			Total	
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			\$ 9,900.00	

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse + \$ 22,700.53
 Contributions in goods and services from candidate and spouse
 (include value listed in Table 3 and Table 4) + \$ _____

Total value of contributions not exceeding \$100 per contributor
 • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse). + \$ _____

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)
 • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse). + \$ _____

Less: Contributions returned or payable to the contributor - \$ 14,000.00
 Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25 - \$ _____

Total Amount of Contributions (record under Income in Box C) **= \$ 8,700.53 1A**

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
MR. KEVIN COELHO	BRAMPTON 2 EVERGREEN AVE.	OCT. 10. 2018	\$ 200.00	
MR. CARLO CANTI	—	OCT. 19. 2018	\$ 1,200.00	
SAVERIO CAPUTO	—	OCT. 17. 2018	\$ 1,200.00	
MR. JOE CAMPISI	15 SASHATOON DR. TORONTO	SEPT. 1. 2018	\$ 1,200.00	
MARGARET ELIZABETH MAY	8217 WINSTON CHURCHILL DR. MISSISSAUGA	OCT. 10. 2018	\$ 1,200.00	
WELLY STEEDMAN	67 POINTON ST. AURORA	OCT. 11. 2018	\$ 1,000.00	
MR. ADAM BALSYS	—	OCT. 19. 2018	\$ 500.00	
MR. MICHAEL DUVA	8373 MAPFIELD RD. BRAMPTON	OCT. 10. 2018	\$ 1,000.00	
CARMEGA MUSCIELLO	11462 COLORAINE AV. BRAMPTON	OCT. 16. 2018	\$ 750.00	

Additional information is listed on separate supplementary attachment

Total \$ 8,700.53

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ <u>22,700.53</u>
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ _____
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ _____
Less: Contributions returned or payable to the contributor	- \$ <u>14,000.00</u>
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
Total Amount of Contributions (record under Income in Box C)	= \$ <u>8,700.53</u> 1A

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to
SURINDER SHARMA	16 ARTECH CRT. DOWNSVIEW	SEPT. 8. 2018	\$ 1,200.00	
MR. CAMILLO MARCINI	—	AUG. 24. 2018	\$ 1,200.00	
MR. MIKE NASSO	4 KELLWAY CIRCLE BRAMPTON	AUG. 27. 2018	\$ 1,200.00	
MR. MAURO BALDASARRA	8600 DUFFERIN ST. VAUGH.	AUG. 14. 2018	\$ 1,200.00	
MR. SURESH GUPTA	50 MICHELANGELO BLVD. BRAMPTON	SEPT. 27. 2018	\$ 500.00	
MRS. SASHA SCHAEFER	7. FALLINGBROOK DR SCARBOR.	SEPT. 20. 2018	\$ 1,000.00	
MRS. SUSAN KORSIAK	514 SPRUCE NEEDLE CRT. OAKVILLE	SEPT. 20. 2018	\$ 1,000.00	
LAURA McLEAN	2447 PEBBLE STONE CRT. OAKVILLE	SEPT. 17. 2018	\$ 1,000.00	
RITA ARORA	43 LEGENDARY DR. BRAMPTON	OCT. 4. 2018	\$ 1,000.00	
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	
			\$ 9,300.00	

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment

Total

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions)

\$ _____ **1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
LARGE ELECTION SIGNS - (BLUE)	DEC. 2006	VISION SIGNS	250	\$ 2,250.00
LARGE ELECTION SIGNS (RED)	AUG. 2014	VISION SIGNS	320	\$ 2,880.00
SIGN PICKETS	2006-2014	NEIGHBOR	1140	\$ 855.00
SIGN WIRES	2006- 2014	VISION SIGNS	500	\$ 150.00
SMALL ELECTION SIGNS (BLUE)	DEC. 2006	VISION SIGNS	250	\$ 625.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total \$ 6,760.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

	\$	2A	
X		2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)			= \$ <u>0</u>

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	

Total Part II (include in Part 1 of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.		+	\$	
2.		+	\$	
3.		+	\$	
4.		+	\$	
5.		+	\$	
6.		+	\$	
7.		+	\$	
8.		+	\$	

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report**Municipal Elections Act, 1996(Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality	Date (yyyy/mm/dd)
--------------	-------------------

Contact Information

Last Name or Single Name	Given Name(s)	Licence Number
--------------------------	---------------	----------------

Address

Suite/Unit No.	Street No.	Street Name
Municipality	Province	Postal Code

Telephone No. (including area code)	Email Address
-------------------------------------	---------------

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.