

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	1 1

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Patel

Given Name(s)

Don

Office for which the candidate sought election

Councillor (City)

Ward name or no. (if any)

1 and 5

Municipality

Brampton

Spending Limit - General

\$ 53,748.00

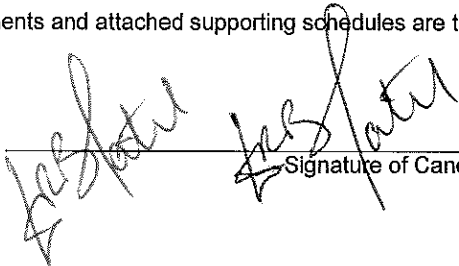
Spending Limit - Parties and Other Expressions of Appreciation

\$ 5375

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Don Patel, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/03/28

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019/03/28	2:15pm	DP	Hans

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	22,420.68
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	22,420.68 C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	_____
Advertising	+ \$	6,818.20
Brochures/flyers	+ \$	3,074.76
Signs (including sign deposit)	+ \$	3,715.00
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	11.84
Phone and/or internet expenses incurred until voting day	+ \$	_____
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____
Bank charges incurred until voting day	+ \$	71.09
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. Others - campaign office rent	+ \$	3,729.00
2. Others - Food for volunteers	+ \$	594.94
3. Others - T shirts for volunteers	+ \$	847.50
4. Others - office furniture	+ \$	99.91
5. Others - cheque printing for campaign	+ \$	88.20
Total Expenses subject to general spending limit	= \$	19,050.44 C2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. Volunteer appreciation event after election	+ \$	2,825.00
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	2,825.00 C3

Expenses not subject to spending limits

Accounting and audit	+ \$	847.50	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$		
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. Refund of ineligible contribution	+ \$	500.00	
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Total Expenses not subject to spending limits	= \$	1,347.50	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 23,222.94 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	-802.26	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
Total (D1 - D2)	= \$	-802.26	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	-802.26	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 6,420.68
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ _____
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 100.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 15,900.00
Less: Contributions returned or payable to the contributor	- \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
Total Amount of Contributions (record under Income in Box C)	= \$ 22,420.68 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached schedule			15,900.00	
Total			15,900.00	

Additional information is listed on separate supplementary attachment

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk Additional information is listed
Jayendra Patel	87 Rouge bank Dr, Markham, ON L3S 4B6	10-Oct-18	200	
Ashok Patel	9 Milmink St, Etobicoke, ON, M9W 3N3	17-Oct-18	900	
Rahul Patel	18 Latania Blvd, Brampton, ON L6P 1S9	01-Oct-18	1,200.00	
Vaishali B Bhatt	5 Rainbrook Close, Brampton, ON L6R0Z2	25-Sep-18	1,200.00	
Harshidaben Bhatt	5 Rainbrook Close, Brampton, ON L6R0Z2	28-Sep-18	1,200.00	
Ajay Shah	20 Venkata Dr, Kleinburg, ON L4H 3X9	15-Oct-18	1,000.00	
Mehul V Patel	87, Autumn Glen Cir Toronto, ON M9W 6B3	14-Oct-18	1,200.00	
Jayesh Brahmhatt	159 Avondale Blvd, Brampton, ON L6T 1H5	10-Oct-18	1,200.00	
Shaver Industries Inc	20 Steckle Place Unit 8, Kitchner, ON N2E 2	15-Oct-18	500	500
Achyut Majmudar	2 Kistler St, Brampton, ON L6R 0P7	10-Oct-18	500	
Armond Arzoomanian	3631 Loyalist Dr, Mississauga, ON L5L 4T9	05-Oct-18	500	
Rajesh Shah	#1410-21 Malbrook Lane, Etobicoke, ON, M	27-Sep-18	500	
Ami Ragesh Patel	20 Streamline Dr, Brampton, ON L6V 4T2	24-Sep-18	500	
Sanjay Mehta	17 Walbrook Rd, Brampton, ON, L6X 3E3	09-Sep-18	500	
Hirni Oza	66 Antibes Dr, Brampton, ON, L6X 5H5	23-Aug-18	1,200.00	
Vinayak Patel	24 Denlow Drive, Brampton, ON L6Y 2J4	20-Jul-18	1200	
P. Amin	62 Palleschi Dr, Brampton, ON, L6P 2R8	18-Jul-18	1200	
Prakit Shukla	44 Totten Dr, Brampton, ON, L6R 0P4	10-Jul-18	1200	
Total			15900	500

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Additional information is listed on separate supplementary attachment **Total**

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 15,900.00 1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total	

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ _____ 2A
X _____ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part II (include in Part 1 of Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part III (include under Income in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____
6. _____ + \$ _____
7. _____ + \$ _____
8. _____ + \$ _____

Total Part IV Expenses (include under Expenses in Box C)

= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/03/28

Contact Information

Last Name or Single Name

Sheik

Given Name(s)

Fareed

Licence Number

378292

Address

Suite/Unit No.

100

Street No.

3034

Street Name

Palstan Road

Municipality

Mississauga

Province

Ontario

Postal Code

L4Y 2Z6

Telephone No. (including area code)

905 896-4449

Email Address

fareed@fareed.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



INDEPENDENT AUDITOR'S REPORT

To
City Clerk
City of Brampton

Report on the Audit of the Financial Statements

We have audited the Ontario Municipal Election's Act financial statement report for the municipal election campaign of **Don Patel**, a candidate for the Council election of **City of Brampton** from **ward number 1 and 5**, for the period **May 11, 2018 to December 31, 2018**, with the criteria established by the Ontario Municipal Elections. The criteria includes Form 4 – Financials Statement, as set out in the attached document. Compliance with the criteria established by the provisions of the agreement is the responsibility of the municipal election candidate (**Don Patel**). Our responsibility is to express an opinion on this financial information based on our audit.

Emphasis of matter

Due to the nature of the types of transactions inherent in the election campaign, it is impracticable through auditing procedures to determine that the accounting records include all the donations of goods and services, and receipts and disbursements. Accordingly, our verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of the candidate in accordance with the accounting procedures established by the Ontario Municipal Elections Act and Form 4 – Financial Statement and we were not able to determine whether any adjustments might be necessary to income and expenses, surplus or deficit.

Opinion

We have audited the financial statements of **Don Patel** (the candidate), which are laid out in form 4 as per Municipal Elections Act, 1996.

In our opinion, except for the matters given in the emphasis of matter paragraph, the Municipal Elections Act financial statements of the election campaign of **Don Patel** for the period **May 11, 2018 to December 31, 2018** are prepared, in all material respects, in accordance with the Ontario Municipal Elections Act and Form 4 – Financial Statement.

Basis for Opinion

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the candidate in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.





Fareed Sheik & Co
Chartered Professional Accountants
AUDIT • TAX • ACCOUNTING

Candidate's responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of the financial statements in accordance with Ontario Municipal Elections Act and Form 4 – Financial Statement, and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Fareed Sheik & Co. CPA, C.A.,

Authorized to practice public accounting by the

Institute of Chartered Accountants of Ontario

Mississauga, Canada

Date: 28 March 2019



Fareed Sheik & Co
Chartered Professional Accountants
AUDIT • TAX • ACCOUNTING