

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 7	2 7

 to

YYYY	MM	DD
2 0 1 8	1 2	3 1

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Gosal

Given Name(s)

Baljit

Office for which the candidate sought election

Mayor

Ward name or no. (if any)

Municipality

City of Brampton

Spending Limit - General

\$ 271,891.00

Spending Limit - Parties and Other Expressions of Appreciation

\$ 27189.10

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Baljit Gosal, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/3/29

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

Time Filed

Initial of Candidate or Agent (if filed in person)

Signature of Clerk or Designate

2019/03/29

11:40 am





Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____
 Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	80,600.00
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	80,600.00 c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	_____
Advertising	+ \$	21,763.94
Brochures/flyers	+ \$	5,401.17
Signs (including sign deposit)	+ \$	14,232.35
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	5,692.02
Phone and/or internet expenses incurred until voting day	+ \$	1,041.11
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	11,000.00
Bank charges incurred until voting day	+ \$	924.00
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. Voter ID and Voter Calling	+ \$	24,408.00
2. Database Management	+ \$	11,300.00
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	95,762.59 c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	_____ c3

Expenses not subject to spending limits

Accounting and audit	+ \$	<u>2,695.00</u>
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	<u>5,650.00</u>
Office expenses incurred after voting day	+ \$	<u>833.60</u>
Phone and/or internet expenses incurred after voting day	+ \$	<u> </u>
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	<u> </u>
Bank charges incurred after voting day	+ \$	<u> </u>
Interest charged on loan after voting day	+ \$	<u> </u>
Expenses related to recount	+ \$	<u> </u>
Expenses related to controverted election	+ \$	<u> </u>
Expenses related to compliance audit	+ \$	<u> </u>
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	<u> </u>
2. _____	+ \$	<u> </u>
3. _____	+ \$	<u> </u>
4. _____	+ \$	<u> </u>
5. _____	+ \$	<u> </u>
Other (provide full details)		
1. _____	+ \$	<u> </u>
2. _____	+ \$	<u> </u>
3. _____	+ \$	<u> </u>
4. _____	+ \$	<u> </u>
5. _____	+ \$	<u> </u>
Total Expenses not subject to spending limits	= \$	<u>9,178.60</u> c4

Total Campaign Expenses (C2 + C3 + C4) = \$ 104,941.19 c5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	<u>-24,341.19</u> D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	<u> </u> D2
Total (D1 – D2)	= \$	<u>-24,341.19</u>
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u> </u>
Surplus (or deficit) for the campaign	= \$	<u>-24,341.19</u> D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 10,500.00
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ 5,650.00
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 200.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 64,850.00
Less: Contributions returned or payable to the contributor	– \$ 350.00
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$ 250.00
Total Amount of Contributions (record under Income in Box C)	= \$ 80,600.00 1A

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
see attached			64,850.00	600.00
Total			64,850.00	

Additional information is listed on separate supplementary attachment

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) \$ 64,850.00 1B

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Office Rent	2018/09/01	5,650.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity Candidate Rally and Buffet

Date of event/activity (yyyy/mm/dd) 2018/10/12

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	29,350.00	2A	
Number of tickets sold	x	1	2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)				= \$ 29,350.00

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1.	+	\$		
2.	+	\$		
3.	+	\$		
4.	+	\$		
5.	+	\$		
Total Part II (include in Part 1 of Schedule 1)				= \$

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1.	+	\$		
2.	+	\$		
3.	+	\$		
4.	+	\$		
5.	+	\$		
Total Part III (include under Income in Box C)				= \$

Part IV – Expenses related to fundraising event or activity

Provide details

1. Banquet Hall Costs	+	\$	5,650.00	
2.	+	\$		
3.	+	\$		
4.	+	\$		
5.	+	\$		
6.	+	\$		
7.	+	\$		
8.	+	\$		
Total Part IV Expenses (include under Expenses in Box C)				= \$ 5,650.00

Auditor's Report**Municipal Elections Act, 1996 (Section 88.25)**

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/03/28

Contact Information

Last Name or Single Name

Climo

Given Name(s)

D. Christopher

Licence Number

1-16098

Address

Suite/Unit No.

Street No.

1614

Street Name

Carmen Drive

Municipality

Mississauga

Province

ON

Postal Code

L5G 3Z1

Telephone No. (including area code)

905 278-4847

Email Address

climoc@bell.net

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

**SCHEDULE 1
PART II TABLE 1**

2018-10-05	Bajinauth	Zamin	27 Ryckman Lane	Brampton	L6P 0C8	\$1,250.00	Note 1
2018-10-05	Bajinauth	Neil	27 Ryckman Lane	Brampton	L6P 0C8	\$1,250.00	Note 1
2018-10-16	Gupta	Sandeep	22 Kelways Circle	Brampton	L6T 0G6	\$1,250.00	Note 1
2018-10-16	Gupta	Pooja	22 Kelways Circle	Brampton	L6T 0G6	\$1,250.00	Note 1
2018-10-16	Sharma	Rajinder	17 Seaciff Cres	Brampton	L6T 0G4	\$1,250.00	Note 1
2018-10-16	Dhillon	Paramjitt	20 Kelways Circle	Brampton	L6T 0G6	\$1,250.00	Note 1
2018-10-16	Sahi	Lakhbir Singh	4 John Beck Cres	Brampton	L6T 2W3	\$1,250.00	Note 1
2018-09-13	Bains	Davinder Kaur	31 Crocker Dr	Brampton	L6P 1M8	\$1,200.00	
2019-09-11	Dhode	Paramjitt	17 Gruber Dr	Brampton	L6P 1E2	\$1,200.00	
2019-09-11	Sohi	Balraj	32 Mainard Cres	Brampton	L6R 2V1	\$1,200.00	
2019-09-11	Uppal	Bahadur	709-3555 Derry Rd. East	Mississauga	L4T 1B2	\$1,200.00	
2019-09-11	Kaur	Amritpreet	17 Gruber Dr	Brampton	L6P 1E2	\$1,200.00	
2018-09-13	Singh	Rupinder Pal	30 Cormorant Cres	Woodbridge	L4H 2R1	\$1,200.00	
2018-09-21	Sandhu	Harpal	6 Everglade Dr	Brampton	L6P 0R2	\$1,200.00	
2018-09-24	Kang	Sukhraj	25 Angelucci Dr	Brampton	L6P 1G7	\$1,200.00	
2018-09-24	Rana	M	103 Kenpark Ave	Brampton	L6Z 3K5	\$1,200.00	
2018-09-24	Thapar	Jyoti	3 Fieldview	Brampton	L6P 2X9	\$1,200.00	
2018-09-24	Aurora	Sagar	3 Fieldview	Brampton	L6P 2X9	\$1,200.00	
2018-09-24	Nanda	Sukhbir	6 Merlin Dr	Brampton	L6P 1E7	\$1,200.00	
2018-09-21	Singh	Surinderpal	2682 Islington Ave.	Etobicoke	M9V 2X5	\$1,200.00	
2018-10-04	Grewal	Rupinder	160 Greenbrooke Dr	Woodbridge	L4H 0H4	\$1,200.00	
2018-10-02	Kumari	Sarika	3275 Stalybridge Dr	Oakville	L6M 0L1	\$1,200.00	
2018-10-02	Pabla	Harjinder	3275 Stalybridge Dr	Oakville	L6M 0L1	\$1,200.00	
2018-10-02	Sira	Manpreet	30 Benadir Ave	Caledon	L7C 3N2	\$1,200.00	
2018-10-02	Pabla	Lakhwinder	30 Benadir Ave	Caledon	L7C 3N2	\$1,200.00	
2018-10-02	Pabla	Mandeep	30 Kelway Cir	Brampton	L6T 0G5	\$1,200.00	

2018-10-02	Pabla	Dennis	30 Kelway Cir	Brampton	L6T 0G5	\$1,200.00
2018-10-02	Pabla	Kuldip Kaur	30 Kelway Cir	Brampton	L6T 0G5	\$1,200.00
2018-10-02	Pabla	Dial	30 Kelway Cir	Brampton	L6T 0G5	\$1,200.00
2018-10-11	Bajwa	Joginder	400 Greenock Dr	Maple	L6A 1M6	\$1,200.00
2018-10-11	Dhillon	Varinder	10 Everglade Dr	Brampton	L6P 0R2	\$1,200.00
2018-10-11	Dhillon	Bikram	10 Everglade Dr	Brampton	L6P 0R2	\$1,200.00
2018-10-12	Heer	Daljit	35 Springtown Trail	Brampton	L6R 2C7	\$1,200.00
2018-10-16	Sharma	Vijay	8843 Creditview Road	Brampton	L6Y 0X3	\$1,200.00
2018-10-22	Patel	Prativ	36 Medici Place	Brampton	L6S 3C6	\$1,200.00
2018-10-23	Minhas	Mohindar	2638 Steeles Ave East	Brampton	L6T 4L7	\$1,200.00
2018-10-23	Minhas	Nishan	2638 Steeles Ave East	Brampton	L6T 4L7	\$1,200.00
2018-10-22	Walia	Meenakshi	2 Jewelville St	Brampton	L6X 2V7	\$1,200.00
2018-10-22	Walia	Rajneesh	2 Jewelville St	Brampton	L6X 2Y7	\$1,200.00
2018-09-05	Bassi	Jogimder	18 Tobosa Trail	Brampton	L6R 2B4	\$1,000.00
2018-09-25	Kapoor	Nitin	14 Elsmere Rd	Brampton	L7A 3T6	\$1,000.00
2018-10-16	Sehgal	Narender	54 Louvre Cir	Brampton	L6P 1W2	\$1,000.00
2018-10-16	Sehgal	Sunita	54 Louvre Cir	Brampton	L6P 1W2	\$1,000.00
2018-10-16	Singh	Sukhwinder	74 Appleton Trail	Brampton	L6W 4L3	\$1,000.00
2018-10-17	Toor	Jaspal	12 Totten Dr	Brampton	L6R 0P8	\$1,000.00
2018-10-16	Gosain	Sandeep	32 Bedouin Cres	Brampton	L6P 4H3	\$750.00
2018-10-16	Gosain	Sonia	32 Bedouin Cres	Brampton	L6P 4H3	\$750.00
2018-10-16	Dhillon	Paramjit	33 Crocker Drive	Brampton	L6P 1M8	\$750.00
2018-09-21	Dhatt	Sewa	20 Highgrove Crt	Cambridge	N3H 4R8	\$500.00
2018-10-11	Salvastava	Virendra	1780 Albion Road	Toronto	M9V 1C1	\$500.00
2018-10-11	Dheri	Ramjit	27 Emperor Dr	Brampton	L6P 1X5	\$500.00
2018-10-11	Jammu	Daljit	343 Hansen Road North	Brampton	L6V 2Y2	\$500.00
2018-10-11	Pannu	Kabal	1 Kittiwake Ave	Etobicoke	M9V 4P5	\$500.00
2018-10-11	Dharni	Kashmir	5 Silktop Trail	Brampton	L6R 2K4	\$500.00
2018-10-12	Dhawan	Mohit	23 Briarwood Ave	Etobicoke	M9W 6C9	\$500.00
2018-10-16	Klair	Khushraj	4 Erlane Avenue	Markham	L3P 1C9	\$500.00
2018-10-16	Randhwa	Balraj	15 Seaciff Cres	Brampton	L6T 0G4	\$500.00

2018-10-16	Mann	Manpreet	36 Sea Cliff Cres	Brampton	L6T 0G5	\$500.00
2018-10-16	Ghumman	Harmeet	12 pebblecreek Dr	Brampton	L6P 2T8	\$500.00
2018-10-16	Aujla	Kulvinder S	17 Narrow Valley Cres	Brampton	L6R 2M6	\$500.00
2018-10-16	Toor	Kulwinder	53 Gallucci Cres	Brampton	L6R 1P6	\$500.00
2018-10-19	Dhaliwal	Satwant	5460 Bimini Court	Mississauga	L5M 6G9	\$500.00
2018-10-23	Sangha	Nachhatar	35 Cannon Cres	Brampton	L6y 4L7	\$500.00
2018-10-23	Natt	Margindh S	14 Colonel Bertram Rd	Brampton	L6Z 4N8	\$300.00
2018-10-04	Mahil	Jaswinder	89 Vintage Gate	Brampton	L6X 5B9	\$250.00
2018-10-16	Krishna	Bal	107-2970 Drew Road	Brampton	L4T 0A6	\$250.00
2018-10-16	Mahil	Jaswinder	89 Vintage Gate	Brampton	L6X 5B9	\$250.00
2018-10-19	Singh	Balwinder	14 Fanfare Place	Brampton	L6P 3E2	\$250.00
2018-10-19	Anonymous					\$250.00 Note 2
2018-10-16	Sidhu	Harinder	19 Wolf Trail Cres	Richmond Hill	L4E 4K1	\$200.00
2018-10-16	Seth	Asha	412-2425 Bloor Street West	Toronto	M6S 2W4	\$200.00

\$64,850.00

Note 1 - contributions exceeded \$1,200 maximum so \$50 to be refunded (total \$350)

Note 2 - contribution was anonymous so forfeited to City of Brampton

SCHEDULE 2 PART 1 TICKET REVENUE

Ticket Price	# sold	Proceeds
\$1,250.00	7	\$8,750.00
\$1,200.00	5	\$6,000.00
\$1,000.00	4	\$4,000.00
\$750.00	3	\$2,250.00
\$500.00	14	\$7,000.00
\$250.00	3	\$750.00
\$200.00	2	\$400.00
\$100.00	2	\$200.00
		\$29,350.00

INDEPENDENT AUDITOR'S REPORT

To Bal Gosal, Candidate for Mayor and Peter Fay, City Clerk, City of Brampton

I have audited the accompanying financial statements of Bal Gosal, which comprise the Statement of Campaign Income and Expenses and the Statement of Calculation of Surplus or Deficit for the campaign period ended December 31, 2018, and the accompanying Schedules. The financial statements have been prepared by the Candidate based on the financial reporting provisions of the Municipal Elections Act.

Management's Responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with the financial reporting provisions of the Municipal Elections Act and for such internal control as he determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on these financial statements based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified audit opinion.

Basis for Qualified Opinion

Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of income and expenses was limited to the amounts recorded in the records of the Candidate and I was not able to determine whether any adjustments might be necessary to income, expenses and surplus/deficit. As well, the Act does not require me to report, nor was it practicable for me to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Act.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, these financial statements present fairly, in all material respects, the income and expenses for the campaign period ended December 31, 2018 and the determination of surplus or deficit and the disposition of surplus in accordance with the financial reporting provisions of the Municipal Elections Act.

Basis of Accounting

Without modifying my opinion, I draw attention to the fact that these financial statements are prepared to assist the Candidate to meet the requirements of the Municipal Elections Act. As a result, the financial statements may not be suitable for another purpose.



CPA, CA, Licensed Public Accountant

March 28, 2019
Mississauga, Ontario