

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD	to	YYYY	MM	DD
2 0 1 8	0 7	1 <i>2</i>	to	2 0 1 8	1 2	3 1

 Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name

Williams

Given Name(s)

Charmaine

Office for which the candidate sought election

City Councillor

Ward name or no. (if any)

7&amp;8

Municipality

Brampton

Spending Limit - General

\$ 59,221.00

Spending Limit - Parties and Other Expressions of Appreciation

\$ 5,922

 I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, Charmaine Williams, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

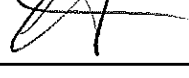
April 25 / 2019  
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

Time Filed

Initial of Candidate or Agent (if filed in person)

Signature of Clerk or Designate

2019/04/25
9:32am

Stans

**Box C: Statement of Campaign Income and Expenses**

**LOAN**

Name of bank or recognized lending institution \_\_\_\_\_  
 Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$ 22,920.00
Revenue from items \$25 or less	+ \$ 888.90
Sign deposit refund	+ \$ _____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$ _____
Interest earned by campaign bank account	+ \$ _____
Other (provide full details)	+ \$ _____
1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$ 23,808.90 c1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)

**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$ _____
Advertising	+ \$ 865.92
Brochures/flyers	+ \$ 4,471.14
Signs (including sign deposit)	+ \$ 9,262.64
Meetings hosted	+ \$ 60.73
Office expenses incurred until voting day	+ \$ 227.40
Phone and/or internet expenses incurred until voting day	+ \$ 996.15
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$ 1,505.00
Bank charges incurred until voting day	+ \$ 76.24
Interest charged on loan until voting day	+ \$ _____
Other (provide full details)	+ \$ _____
1. Other	+ \$ 769.14
2. Appreciation	+ \$ 552.05
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____
<b>Total Expenses subject to general spending limit</b>	<b>= \$ 18,786.41 c2</b>

**EXPENSES**

**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$ _____ C3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	389.55
Phone and/or internet expenses incurred after voting day	+ \$	7,863.73
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	26.85
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1. Meetings	+ \$	63.13
2. Appreciation	+ \$	354.44
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>8,697.70 c4</b>

**Total Campaign Expenses (C2 + C3 + C4)** = \$ 27,484.11 c5

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	-3,675.21	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 - D2)</b>	<b>= \$</b>	<b>-3,675.21</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	<b>= \$</b>	<b>-3,675.21</b>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

**Schedule 1 - Contributions**

**Part I – Summary of Contributions**

Contributions in money from candidate and spouse	+ \$	470.00	
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$		
Total value of contributions not exceeding \$100 per contributor			
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	700.00	
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)			
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	21,750.00	
<b>Less:</b> Contributions returned or payable to the contributor	– \$		
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$		
<b>Total Amount of Contributions (record under Income in Box C)</b>	<b>= \$</b>	<b>22,920.00</b>	<b>1A</b>

**Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse**

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached List			21,750.00	
<b>Total</b>			<b>21,750.00</b>	

Additional information is listed on separate supplementary attachment

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) \$ 21,750.00 1B

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	<b>Total</b>	

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	<b>Total</b>			

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)  
(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

	\$ _____	<b>2A</b>	
	X _____	<b>2B</b>	
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____		
2. _____	+ \$ _____		
3. _____	+ \$ _____		
4. _____	+ \$ _____		
5. _____	+ \$ _____		
<b>Total Part II (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+ \$ _____		
2. _____	+ \$ _____		
3. _____	+ \$ _____		
4. _____	+ \$ _____		
5. _____	+ \$ _____		
<b>Total Part III (include under Income in Box C)</b>			= \$ _____

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+ \$ _____		
2. _____	+ \$ _____		
3. _____	+ \$ _____		
4. _____	+ \$ _____		
5. _____	+ \$ _____		
6. _____	+ \$ _____		
7. _____	+ \$ _____		
8. _____	+ \$ _____		
<b>Total Part IV Expenses (include under Expenses in Box C)</b>			= \$ _____

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/04/24

**Contact Information**

Last Name or Single Name

Ormsby

Given Name(s)

George

Licence Number

1-5937

Address

Suite/Unit No.

Street No.

1083

Street Name

Britannia Rd East

Municipality

Mississauga

Province

Ontario

Postal Code

L4W 3X1

Telephone No. (including area code)

905 670-8890

Email Address

gormsby@ormack.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



## **INDEPENDENT AUDITOR'S REASONABLE ASSURANCE REPORT ON COMPLIANCE**

**To Charmaine Williams, Candidate for Submission to the Clerk of the City of Brampton in accordance with section 88.25 of the Municipal Elections Act, 1996,**

We have undertaken a reasonable assurance engagement of Form 4 (The Form) of Charmaine Williams, Candidate for the campaign period from July 14, 2018 to December 31, 2018 relating to the election held on October 22, 2018.

### **Candidate's Responsibility**

The Candidate is responsible for the preparation of The Form in accordance with the financial reporting provisions of section 88.8 through 88.12, 88.19 and 88.20 of the *Municipal Elections Act, 1996* and for such internal control as the Candidate determines is necessary to enable the preparation of a Form that is free from material misstatement, whether due to fraud or error.

### **Our Responsibility**

Our responsibility is to express a reasonable assurance opinion on Charmaine Williams' compliance based on the evidence we have obtained. We conducted our reasonable assurance engagement in accordance with Canadian Standard on Assurance Engagements 3531, Direct Engagements to Report on Compliance. This standard requires we plan and perform this engagement to obtain reasonable assurance about whether Charmaine Williams complied with the specified requirements, in all significant respects.

Reasonable assurance is a high level of assurance, but is not a guarantee that an engagement conducted in accordance with this standard will always detect a significant instance of non-compliance with specified requirements when it exists. Instances of non-compliance can arise from fraud or error and are considered significant if, individually or in the aggregate, they could reasonably be expected to influence the decisions of users of our report. A reasonable assurance compliance reporting engagement involves performing procedures to obtain evidence about the entity's compliance with the specified requirements. The nature, timing and extent of procedures selected depends on our professional judgment, including an assessment of the risks of significant non-compliance, whether due to fraud or error.

We believe the evidence we obtained is sufficient and appropriate to provide a basis for our opinion.

### **Our Independence and Quality Control**

We have complied with the relevant rules of professional conduct/code of ethics applicable to the practice of public accounting and related to assurance engagements, issued by various professional accounting bodies, which are founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies Canadian Standard on Quality Control 1, Quality Control for Firms that Perform Audits and Reviews and Financial Statements, and Other Assurance Engagements and, accordingly, maintains a comprehensive system of quality control, including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

### **Qualified Opinion**

In our opinion, except for the possible effect of the matter described in the Basis for Qualified Opinion paragraph, The Form for the Campaign period July 14, 2018 to December 31, 2018 complied with the financial reporting provisions of sections 88.8 through 88.12 and 88.19 and 88.20 established under the of the *Municipal Elections Act, 1996* during the period July 14, 2018 to December 31, 2018, in all significant respects.

### **Basis for Qualified Opinion**

Due to the inherent nature of the transactions in an election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these amounts was limited to the amounts recorded in the Campaign's accounting records and we were not able to determine whether any adjustments might be necessary to contributions and other revenue, expenses, surplus or deficit. Our audit opinion on The Form for the campaign period from July 14, 2018 to December 31, 2018 was modified accordingly because of the possible effects of this limitation in scope.

We do not provide a legal opinion on Charmaine Williams' compliance with the specified requirements.

### **Purpose of Statement**

This Form is prepared in accordance with the financial reporting provisions of sections 88.8 through 88.12 and 88.19 and 88.20 of the *Municipal Elections Act, 1996*. As a result, The Form may not be suitable for another purpose. Our report is intended solely for the Candidate and the Clerk of the City of Brampton and should not be used by parties other than the Candidate and the Clerk of Brampton. Our opinion is not modified in respect of this matter.



April 24, 2019  
Mississauga, Ontario

Ormsby & Company  
Chartered Professional Accountants  
Licensed Public Accountants

Date	Source	Name	Gross	Email	Address Line 1	Town/City	State/Province/R Zip/Postal Code	Country
<b>Contributions In Excess Of \$100.00</b>								
May 11, 2018	Cheque	James Reed	\$1,000.00		10393 Heritage Rd	Brampton	ON L7A 0A1	Canada
Jun 11, 2018	Cheque	Babette Buck	\$750.00		2173 Turnberry Rd	Burlington	ON L7M 4P8	Canada
Jun 11, 2018	Cheque	Matthew Buck	\$500.00		2026 Cleaver Ave Apt 14	Burlington	ON L7M 4H8	Canada
Jun 11, 2018	Cheque	Gard Buck	\$750.00		2173 Turnberry Rd	Burlington	ON L7M 4H8	Canada
Aug 15, 2018	Cheque	Modesto Romano	\$1,200.00		77 Harold Lawrie Lane	Thornhill	Ontario L3T 0E8	Canada
Aug 15, 2018	Cheque	Giovanni Angelucci	\$1,200.00		274 MacPhearson Ave	Toronto	Ontario M4V 1A3	Canada
Aug 15, 2018	Cheque	Gaetano Franco	\$1,200.00		326 King Summit Rd	King City	On L7B 1A3	Canada
Aug 15, 2018	Cheque	Lou Romano	\$1,200.00		76 Summit Dr	Woodbridge	ON L4H 0J8	Canada
Aug 16, 2018	Cheque	FeryRose Romano	\$1,200.00		131 Avenue Rd	Toronto	ON M5R0A4	Canada
Sep 19, 2018	Cheque	Mr. Rod Rice	\$500.00		75 Charles St	Georgetown	ON L7G 2Z6	Canada
Sep 25, 2018	Cheque	Leah & Jeremy Baruch	\$1,000.00		69 McGillivray Ave	Toronto	ON M5M 2Y3	Canada
Sep 25, 2018	Cheque	Shelley Baruch	\$1,000.00		89 Aldershot Cres	North York	ON M2P 1L7	Canada
Sep 26, 2018	Cheque	Karen Baruch	\$1,000.00		12 Gordon Rd	North York	ON M2P 1E1	Canada
Oct 31, 2018	Cheque	Mr. David Horwood	\$1,000.00		Unknown			Canada
Oct 31, 2018	Cheque	Gerard Asa	\$1,000.00		23 Lantern Lane	Dundas	On L9H 6N9	Canada
Nov 20, 2018	Cheque	John Guglietti	\$750.00		145 Reynolds St	Oakville	ON L6J 0A7	Canada
Nov 20, 2018	Cheque	Marco Guglietti	\$750.00		145 Reynolds St	Oakville	ON L6J 0A7	Canada
Nov 20, 2018	Cheque	Riccardo Guglietti	\$750.00		145 Reynolds St	Oakville	ON L6J 0A7	Canada
Nov 20, 2018	Cheque	Silvio Guglietti	\$750.00		145 Reynolds St	Oakville	ON L6J 0A7	Canada
Dec 19, 2019	Cheque	Stephanie Saccoccia	\$400.00		20 Orico Crt	Kleinburg	ON L07 1C0	Canada
Dec 19, 2019	Cheque	Lena Saccoccia	\$400.00		20 Orico Crt	Kleinburg	ON L07 1C0	Canada
Dec 19, 2019	Cheque	Mr. Attilio Lio	\$1,200.00		71 Lawrie Rd	Thornhill	ON L4J 3N6	Canada
Dec 19, 2019	Cheque	Art Saccoccia	\$400.00		3300 Steeles Ave	Concord	ON L4K 2Y4	Canada
Feb 8, 2018	PayPal	Anna Marie Beeton	\$250.00	anna.marie@explornet.com	5133 County Rd 9	Namanees	Ontario K7R 3K8	Canada
Jul 24, 2018	PayPal	Talibah Miller-Johnson	\$150.00	talibahmiller@hotmail.com	28 Junetown Circle	Brampton	ON L6X0X7	Canada
Sep 15, 2018	PayPal	Denise Sample	\$1,000.00	denise.sample@rsagroup.ca	3 Gold Hill Rd	Brampton	Ontario L6X4T6	Canada
Sep 30, 2018	PayPal	Paule-Jean Lyn	\$200.00	paulajeanyln@hotmail.com	2 Nipissing Cres	Brampton	Ontario L6S 5A6	Canada
Dec 9, 2018	PayPal	Danielle Dowdy	\$250.00	dowdy.danielle@gmail.com	10 Whitewash Way	Brampton	Ontario L6X4T3	Canada
Total Exceeding \$100.00			<u>\$21,750.00</u>					