

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 8	0 7	1 2 4

 to 

YYYY	MM	DD
2 0 1 9	0 6	3 0

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

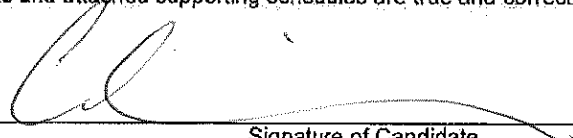
**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot	
Last Name or Single Name Williams	Given Name(s) Charmaine
Office for which the candidate sought election City Councillor	Ward name or no. (if any) 7&8
Municipality Brampton	
Spending Limit - General \$ 59,221.00	Spending Limit - Parties and Other Expressions of Appreciation \$ 5,922

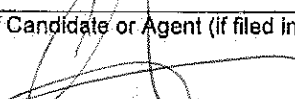

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, Charmaine Williams, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

  
\_\_\_\_\_  
Signature of Candidate

2019/09/26  
\_\_\_\_\_  
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) 2019/09/26	Time Filed 3:45 pm	Initial of Candidate or Agent (if filed in person) 	Signature of Clerk or Designate 
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**Box C: Statement of Campaign Income and Expenses**

**LOAN**

Name of bank or recognized lending institution \_\_\_\_\_

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	30,647.99
Revenue from items \$25 or less	+ \$	888.90
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>31,536.89 c1</b>

**EXPENSES (Note: include the value of contributions of goods and services)**

**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	
Advertising	+ \$	865.92
Brochures/flyers	+ \$	4,471.14
Signs (including sign deposit)	+ \$	9,262.64
Meetings hosted	+ \$	60.73
Office expenses incurred until voting day	+ \$	227.40
Phone and/or internet expenses incurred until voting day	+ \$	996.15
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	1,505.00
Bank charges incurred until voting day	+ \$	76.24
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. Other	+ \$	769.14
2. Appreciation	+ \$	552.05
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>18,786.41 c2</b>

**EXPENSES**

**Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>c3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	1,808.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$	389.55	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	20,458.88	
Bank charges incurred after voting day	+ \$	26.85	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
Other (provide full details)			
1. Meetings	+ \$	63.13	
2. Appreciation	+ \$	354.44	
3.	+ \$		
4.	+ \$		
5.	+ \$		
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>23,100.85</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4) = \$ 41,887.26 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	-10,350.37	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 - D2)</b>	<b>= \$</b>	<b>-10,350.37</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
<b>Surplus (or deficit) for the campaign</b>	<b>= \$</b>	<b>-10,350.37</b>	<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

**Schedule 1 - Contributions**

**Part I - Summary of Contributions**

Contributions in money from candidate and spouse	+ \$ 6,727.99
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ 470.00
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 700.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 22,750.00
Less: Contributions returned or payable to the contributor	- \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$ _____
<b>Total Amount of Contributions (record under Income in Box C)</b>	<b>= \$ 30,647.99 1A</b>

**Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse**

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached List			22,750.00	
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	<b>22,750.00</b>

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
(Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				<b>Total</b>

Total for Part II - Contributions exceeding \$100 per contributor  
(Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 22,750.00 1B**

**Part III - Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				<b>Total</b>

**Schedule 2 - Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I - Ticket revenue**

Admission charge (per person)  
(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ \_\_\_\_\_ 2A  
X \_\_\_\_\_ 2B

**Total Part I (2A X 2B) (include in Part 1 of Schedule 1)** = \$ \_\_\_\_\_

**Part II - Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

- 1. \_\_\_\_\_ + \$ \_\_\_\_\_
- 2. \_\_\_\_\_ + \$ \_\_\_\_\_
- 3. \_\_\_\_\_ + \$ \_\_\_\_\_
- 4. \_\_\_\_\_ + \$ \_\_\_\_\_
- 5. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part II (include in Part 1 of Schedule 1)** = \$ \_\_\_\_\_

**Part III - Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

- 1. \_\_\_\_\_ + \$ \_\_\_\_\_
- 2. \_\_\_\_\_ + \$ \_\_\_\_\_
- 3. \_\_\_\_\_ + \$ \_\_\_\_\_
- 4. \_\_\_\_\_ + \$ \_\_\_\_\_
- 5. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part III (include under income in Box C)** = \$ \_\_\_\_\_

**Part IV - Expenses related to fundraising event or activity**

Provide details

- 1. \_\_\_\_\_ + \$ \_\_\_\_\_
- 2. \_\_\_\_\_ + \$ \_\_\_\_\_
- 3. \_\_\_\_\_ + \$ \_\_\_\_\_
- 4. \_\_\_\_\_ + \$ \_\_\_\_\_
- 5. \_\_\_\_\_ + \$ \_\_\_\_\_
- 6. \_\_\_\_\_ + \$ \_\_\_\_\_
- 7. \_\_\_\_\_ + \$ \_\_\_\_\_
- 8. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part IV Expenses (include under Expenses in Box C)** = \$ \_\_\_\_\_

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA

Municipality

Mississauga

Date (yyyy/mm/dd)

2019/04/24

**Contact Information**

Last Name or Single Name

Ormsby

Given Name(s)

George

Licence Number

1-5937

Address

Suite/Unit No.

Street No.

1083

Street Name

Britannia Rd East

Municipality

Mississauga

Province

Ontario

Postal Code

L4W 3X1

Telephone No. (including area code)

905 670-8890

Email Address

gormsby@ormack.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



**Contributions in Excess Of \$100.00**

May 11, 2018	Cheque	James Reed	10383 Heritage Rd	Brampton	ON	L7A 0A1	Canada	\$1,000.00
Jun 11, 2018	Cheque	Babette Buck	2173 Turnberry Rd	Burlington	ON	L7M 4P8	Canada	\$750.00
Jun 11, 2018	Cheque	Mathew Buck	2026 Cleaver Ave Apt 14	Burlington	ON	L7M 4H8	Canada	\$500.00
Jun 11, 2018	Cheque	Gord Buck	2173 Turnberry Rd	Burlington	ON	L7M 4H8	Canada	\$750.00
Aug 15, 2018	Cheque	Modesto Romano	77 Harold Lawrie Lane	Thornhill	Ontario	L3T 0E8	Canada	\$1,200.00
Aug 15, 2018	Cheque	GioVanni Angelucci	274 MacPhearson Ave	Toronto	ON	M4V 1A3	Canada	\$1,200.00
Aug 15, 2018	Cheque	Gaetano Franco	326 King Summit Rd	King City	On	L78 1A3	Canada	\$1,200.00
Aug 15, 2018	Cheque	Lou Romano	76 Summit Dr	Woodbridge	ON	L4H 0J8	Canada	\$1,200.00
Sep 19, 2018	Cheque	FenyRose Romiano	131 Avenue Rd	Toronto	ON	M5R 0A4	Canada	\$1,200.00
Sep 19, 2018	Cheque	Mr. Rod Rice	75 Charles St	Georgetown	ON	L7G 2Z6	Canada	\$500.00
Sep 25, 2018	Cheque	Leah & Jeremy Baruch	69 McCullivary Ave	Toronto	ON	M5M 2Y3	Canada	\$1,000.00
Sep 25, 2018	Cheque	Shelley Baruch	69 Aldershot Cres	North York	ON	M2P 1L7	Canada	\$1,000.00
Sep 26, 2018	Cheque	Karen Baruch	12 Gordon Rd	North York	ON	M2P 1E1	Canada	\$1,000.00
Oct 31, 2018	Cheque	Mr. David Horwood	Unknown				Canada	\$1,000.00
Oct 31, 2018	Cheque	Gerard Asa	23 Lantern Lane	Dundas	On	L9H 6N9	Canada	\$1,000.00
Nov 20, 2018	Cheque	John Guglietti	145 Reynolds St	Oakville	ON	L6J 0A7	Canada	\$750.00
Nov 20, 2018	Cheque	Merc Guglietti	145 Reynolds St	Oakville	ON	L6J 0A7	Canada	\$750.00
Nov 20, 2018	Cheque	Riccardo Guglietti	145 Reynolds St	Oakville	ON	L6J 0A7	Canada	\$750.00
Nov 20, 2018	Cheque	Shiv Guglietti	145 Reynolds St	Oakville	ON	L6J 0A7	Canada	\$750.00
Dec 19, 2018	Cheque	Stephanie Saccoccia	26 Orco Crt	Kleinburg	ON	L0T 1C0	Canada	\$400.00
Dec 19, 2018	Cheque	Lena Saccoccia	26 Orco Crt	Kleinburg	ON	L0T 1C0	Canada	\$400.00
Dec 19, 2018	Cheque	Mr. Attilio Lio	71 Lawrie Rd	Thornhill	ON	L4J 3N6	Canada	\$1,200.00
Dec 19, 2018	Cheque	Art Saccoccia	3300 Steeles Ave	Concord	ON	L4K 2Y4	Canada	\$400.00
Apr 8, 2019	Cheque	Anna Maria Kaneff	200-8501 Mississauga Rd	Brampton	On	L6Y 5G8	Canada	\$1,000.00
Feb 8, 2018	PayPal	Anna Marie Beeton	5133 County Rd 9	Markham	Ontario	K7R 3K8	Canada	\$250.00
Jul 24, 2018	PayPal	Talibah Miller-Johnson	26 Junctown Circle	Brampton	ON	L6X 0X7	Canada	\$150.00
Sep 15, 2018	PayPal	Denise Seimle	3 Gold Hill Rd	Brampton	Ontario	L6X 4T6	Canada	\$1,000.00
Sep 30, 2018	PayPal	Paula-Jean Lynn	2 Nipissing Cres	Brampton	Ontario	L6S 5A5	Canada	\$200.00
Dec 9, 2018	PayPal	Danielle Dowdy	10 Whitewash Way	Brampton	Ontario	L6X 4T3	Canada	\$250.00
<b>Total Exceeding \$100.00</b>								
<b>\$22,750.00</b>								

**Contributions Not Exceeding \$100.00**

Dec 8, 2018	Cheque	Joanne Argall	11 Burnham Cres	Brampton	ON	L6T 2L1	Canada	\$100.00
Jul 24, 2018	PayPal	Crystal Perryman	58 Smith Ave	HAMILTON	ON	L8L 5P1	Canada	\$100.00
Jul 25, 2018	PayPal	Tennile Cooper	2219 - 3888 Duke of York blv	Mississauga	Ontario	L5B 4P5	Canada	\$100.00
Aug 19, 2018	PayPal	Toni Peter	189 Livingston Blvd	Baden	Ontario	N3A 4K9	Canada	\$100.00
Aug 31, 2018	PayPal	Catherine Bruce	5 Parkend Avenue	Brampton	ON	L6Y1B3	Canada	\$100.00
Sep 13, 2018	PayPal	Renee Barnes	74 Davenport Cres	Brampton	Ontario	L6T 3L6	Canada	\$100.00
Sep 20, 2018	PayPal	Kathleen Hughes	3404 Angel Pass Dr	Mississauga	Ontario	L5M 7K5	Canada	\$100.00
<b>\$700.00</b>								

Various Dates: PayPal

Dec 18, 2018: Contributions less than the CASH

\$122.65

\$756.25

\$888.90

\$1,568.90

**Total Not Exceeding \$100.00**

**\$470.00**

**\$24,806.90**

**Total All Contributions**

**\$24,806.90**

**Contributions By Candidate & Spouse**

Dec 19, 2019: Personal Contribution Charmaine Williams

63 Newgreen Cres., Brampton, On. L6S 4Y5

**APPENDIX A****INDEPENDENT AUDITOR'S REASONABLE ASSURANCE REPORT ON COMPLIANCE**

**To Charmaine Williams, Candidate for Submission to the Clerk of the City of Brampton in accordance with section 88.25 of the Municipal Elections Act, 1996,**

We have undertaken a reasonable assurance engagement of The Supplementary Filing of Form 4 of Charmaine Williams, Candidate for the campaign period from July 14, 2018 to June 30, 2019 relating to the election held on October 22, 2018.

**Candidate's Responsibility**

The Candidate is responsible for the preparation of The Supplementary Filing of Form in accordance with the financial reporting provisions of section 88.8 through 88.12, 88.19 and 88.20 of the *Municipal Elections Act, 1996* and for such internal control as the Candidate determines is necessary to enable the preparation of a Form that is free from material misstatement, whether due to fraud or error.

**Our Responsibility**

Our responsibility is to express a reasonable assurance opinion on Charmaine Williams' compliance based on the evidence we have obtained. We conducted our reasonable assurance engagement in accordance with Canadian Standard on Assurance Engagements 3531, Direct Engagements to Report on Compliance. This standard requires we plan and perform this engagement to obtain reasonable assurance about whether Charmaine Williams complied with the specified requirements, in all significant respects.

Reasonable assurance is a high level of assurance, but is not a guarantee that an engagement conducted in accordance with this standard will always detect a significant instance of non-compliance with specified requirements when it exists. Instances of non-compliance can arise from fraud or error and are considered significant if, individually or in the aggregate, they could reasonably be expected to influence the decisions of users of our report. A reasonable assurance compliance reporting engagement involves performing procedures to obtain evidence about the entity's compliance with the specified requirements. The nature, timing and extent of procedures selected depends on our professional judgment, including an assessment of the risks of significant non-compliance, whether due to fraud or error.

We believe the evidence we obtained is sufficient and appropriate to provide a basis for our opinion.

**Our Independence and Quality Control**

We have complied with the relevant rules of professional conduct/code of ethics applicable to the practice of public accounting and related to assurance engagements, issued by various professional accounting bodies, which are founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies Canadian Standard on Quality Control 1, Quality Control for Firms that Perform Audits and Reviews and Financial Statements, and Other Assurance Engagements and, accordingly, maintains a comprehensive system of quality control, including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

### **Qualified Opinion**

In our opinion, except for the possible effect of the matter described in the Basis for Qualified Opinion paragraph, The Supplementary Filing of The Form for the Campaign period July 14, 2018 to June 30, 2019 complied with the financial reporting provisions of sections 88.8 through 88.12 and 88.19 and 88.20 established under the of the *Municipal Elections Act, 1996* during the period July 14, 2018 to June 30, 2019, in all significant respects.

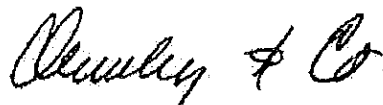
### **Basis for Qualified Opinion**

Due to the inherent nature of the transactions in an election campaign, the completeness of contributions and other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these amounts was limited to the amounts recorded in the Campaign's accounting records and we were not able to determine whether any adjustments might be necessary to contributions and other revenue, expenses, surplus or deficit. Our audit opinion on The Supplementary Filing of The Form for the campaign period from July 14, 2018 to June 30, 2019 was modified accordingly because of the possible effects of this limitation in scope.

We do not provide a legal opinion on Charmaine Williams' compliance with the specified requirements.

### **Purpose of Statement**

This Supplementary Filing of The Form is prepared in accordance with the financial reporting provisions of sections 88.8 through 88.12 and 88.19 and 88.20 of the *Municipal Elections Act, 1996*. As a result, The Supplementary Filing of The Form may not be suitable for another purpose. Our report is intended solely for the Candidate and the Clerk of the City of Brampton and should not be used by parties other than the Candidate and the Clerk of Brampton. Our opinion is not modified in respect of this matter.



September 25, 2019  
Mississauga, Ontario

Ormsby & Company  
Chartered Professional Accountants  
Licensed Public Accountants