

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 8	0 6	0 1

 to 

YYYY	MM	DD
2 0 1 8	1 2	3 1

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name

Khroad

Given Name(s)

Ashman

Office for which the candidate sought election

Trustee, PDSB

Ward name or no. (if any)

9,10

Municipality

City of Brampton

Spending Limit - General

\$ 51,515.00

Spending Limit - Parties and Other Expressions of Appreciation

\$ 5152


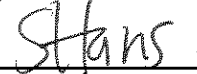
I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, Ashman Khroad, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

  
Signature of Candidate

2019/03/28  
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019/03/28	2:36 pm		

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**Box C: Statement of Campaign Income and Expenses**

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**LOAN**

Name of bank or recognized lending institution \_\_\_\_\_

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	37,100.00
Revenue from items \$25 or less	+ \$	20.00
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>37,120.00 c1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	
Advertising	+ \$	5,507.94
Brochures/flyers	+ \$	5,078.72
Signs (including sign deposit)	+ \$	17,277.60
Meetings hosted	+ \$	
Office expenses incurred until voting day	+ \$	121.40
Phone and/or internet expenses incurred until voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	
Bank charges incurred until voting day	+ \$	86.48
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. <u>Contributions in Goods and Services</u>	+ \$	7,500.00
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>35,572.14 c2</b>

**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>C3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	1,500.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	47.86	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>1,547.86</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4) = \$ 37,120.00 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	0.00	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 – D2)</b>	<b>= \$</b>		
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	<b>= \$</b>		<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

**Schedule 1 - Contributions**

**Part I – Summary of Contributions**

Contributions in money from candidate and spouse	+ \$	6,000.00	
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$		
Total value of contributions not exceeding \$100 per contributor			
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	500.00	
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)			
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	30,600.00	
<b>Less:</b> Contributions returned or payable to the contributor			
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$		
<b>Total Amount of Contributions (record under Income in Box C)</b>			
	<b>= \$</b>	<b>37,100.00</b>	<b>1A</b>

**Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse**

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached			23,100.00	
<b>Total</b>			<b>23,100.00</b>	

Additional information is listed on separate supplementary attachment

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
See attached				7,500.00
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	<b>7,500.00</b>

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 30,600.00 1B**

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign  
 (Note: value must be recorded as a contribution from the candidate and as an expense)**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	2A	
Number of tickets sold	X	2B	
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part II (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part III (include under Income in Box C)</b>			= \$ _____

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
6. _____	+	\$ _____	
7. _____	+	\$ _____	
8. _____	+	\$ _____	
<b>Total Part IV Expenses (include under Expenses in Box C)</b>			= \$ _____

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

City of Brampton

Date (yyyy/mm/dd)

2019/03/25

**Contact Information**

Last Name or Single Name

Hurmizi

Given Name(s)

Sam

Licence Number

1-16359

Address

Suite/Unit No.

200

Street No.

197

Street Name

County Court Blvd.

Municipality

Brampton

Province

ON

Postal Code

L6W 4P6

Telephone No. (including area code)

905 451-3034

Email Address

samh@smca.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse  
 Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount
Surinder K Toor	12 Totten Drive, Brampton, ON, L6R0P5	2018-07-17	\$ 1,200.00
Sukhdeep Dhaliwal	1 Strength St, Brampton, ON, L6P4J2	2018-07-28	\$ 1,200.00
Jitender Mukar	29 Triple Crown Dr, Brampton, ON, L6S0A4	2018-09-20	\$ 500.00
Gurmail Singh Kamboj	30 Ashby Field Rd, Brampton, ON, L6X0Y5	2018-09-09	\$ 250.00
Inderbir Singh	32 Ranger Cres, Brampton, ON, L6P2J8	2018-09-04	\$ 500.00
Pavitar Singh Kaler	12 Overture Lane, Brampton, ON, L6S 6H9	2018-09-26	\$ 1,200.00
Jagandeep Singh Sandhu	32 Fringetree Road, Brampton, ON, L6R 3W7	2018-09-22	\$ 1,000.00
Amandeep Singh Mann	1 Australila Drive, Brampton, ON, L6R 3E4	2018-08-15	\$ 1,000.00
Jaswinder Singh	10 Dopp Cres, Brampton, ON, L6P4C8	2018-10-12	\$ 1,000.00
Satvinder Bedi	4 Jaypeak Rd, Brampton, ON, L6P4K2	2018-10-12	\$ 500.00
Amardeep Singh Chabra	20 Laurentide Cres, Brampton, ON, L6P 1Y4	2018-10-17	\$ 200.00
Parminder Singh Mangat	1 Rae Ave, Brampton, ON, L6P0E7	2018-10-10	\$ 500.00
Karamjit Pandher	221 Sussexvale Dr, Brampton, ON L6R 0W2	2018-10-10	\$ 300.00
Paramjit K. Mangat	20 Hertwick Street, Brampton, ON, L6S 6L7	2018-10-11	\$ 500.00
Hardeep Singh Multani	18 Ricardo Rd, Brampton, ON, L6P3Z1	2018-10-10	\$ 200.00
BirDavinder Deol	48 Treeline Blvd, Brampton, ON, L6P1E4	2018-10-08	\$ 500.00
Anil Gulati	22 Ricardo Road, Brampton, ON, L6P2X3	2018-10-10	\$ 200.00
Sukhwinder Khuman	2980 Drew Road, Unit 231, Mississauga, ON L4T 0A7	2018-10-17	\$ 1,000.00
Ranjit Saroya	36 Louvain Dr, Brampton, ON L6P 1W7	2018-10-10	\$ 1,000.00
Ranjit Panesar	43 Cheviot Crest, Brampton, ON, L6Z4H2	2018-10-10	\$ 200.00
Loveljit Singh Pandher	16225 Hillview Pl, Bolton, ON, L7E3S1	2018-10-10	\$ 500.00
Pawandeep Bariar	69 Wishing Well Cres, Caledon, ON, L7C3R3	2018-10-05	\$ 300.00
Davinder Kaur Bains	31 Crocker Dr, Brampton, ON, L6P1M8	2018-10-13	\$ 500.00
Gagandeep Chambal	9 Decorso Drive, Brampton, ON, L6P3T7	2018-10-13	\$ 500.00
Manpreet Dhillon	31 Glenbrook Blvd, Brampton, ON, L6P0N6	2018-10-10	\$ 500.00
Lakhbir Mangat	37 Herdwick Street, Brampton, ON, L6S6L6	2018-10-10	\$ 1,200.00
Abhai Singh Bhullar	86 Lone Rock Circle, Brampton, ON, L6P3X4	2018-10-20	\$ 200.00
Arun Kapoor	46 Dearbourne Blvd, Unit 1, Brampton, ON, L6T1J7	2018-10-19	\$ 200.00
Rajender Dhillon	34 Nobel Place, Brampton, ON, L6S 4X4	2018-10-22	\$ 150.00
Avtar Tiwana	83 Princess Valley Cres, Brampton, ON, L6P2B9	2018-10-12	\$ 200.00
Jagjit Singh Randhawa	34 Peachwood Place, Brampton, ON	2018-10-20	\$ 750.00
Naresh Bhattai	47 Gosfield Drive, Brampton, ON, L6R3S3	2018-10-17	\$ 300.00
Jaswinder Singh Tiwana	118 Herdwick Street, Brampton, L6S 0A5	2018-10-19	\$ 500.00
Bahadur Singh	13 Siesta Ct, Brampton, ON, L6R1P2	2018-10-20	\$ 1,200.00
Gurpreet Kaur	44 Herdwick Street, Brampton, ON, L6S 6M1	2018-10-20	\$ 1,200.00
Sandeep Singh Miglani	1810-4450 Tucana Ct, Mississauga, ON, L5R3R4	2018-10-11	\$ 200.00
Pankaj Bhandari	79 River Heights Dr, Brampton, ON, L6P3B7	2018-10-12	\$ 300.00
Paul Gill	38 Lauderhill Rd, Brampton, ON, L6P3M3	2018-10-19	\$ 200.00
Amandeep Singh Grewal	6 Prue Court, Brampton, ON, L6P 1P8	2018-10-22	\$ 250.00
Harjot Singh	106 Mountainash Rd, Brampton, ON, L6R1H7	2018-11-16	\$ 800.00
Jasdeep Virdi	7426 Saint Barbara Blvd, Mississauga, ON, L5W0G3	2018-12-31	\$ 200.00
			\$ 23,100.00

Table 2: Contributions in goods or services from individuals other than candidate or spouse  
 (Note: must also be recorded as Expenses in Box C)

Name	Full address	Description of Goods and Services	Date Received	Value \$
Craig Bickerstaff	52 Bramsteele Rd, Unit 3, Brampton, ON, L6W3M5	Tent Rental	25/08/2018	1000
Sukhdev Kaur Pandher	221 Sussevale Dr, Brampton, ON L6R 0W2	Food for opening	25/08/2018	1000
Nikki Khroad	1 Venue Road, Brampton, ON, L6P 0H7	Food	22/10/2018	1000
Jasvir Khroad	14 Totten Drive, Brampton, ON, L6R0P5	Food	30/09/2018	1000
Gunveer Kaur	1 Venue Road, Brampton, ON, L6P 0H7	Food	07/10/2018	1000
Moni Mindich	89 Dunfield Avenue, Suite 3406, Toronto, M4S 0A4	Website and email setup	03/08/2018	500
Kuljit Rehal	14 Bottomwood Street, Brampton, ON, L6S0A6	Professional Photos	31/07/2018	500
Rupinder Nannar	34 Peachwood Place, Brampton, ON	Campaign Office rent	25/08/2018	500
Harpreet Sidhu	26 Niceview Dr, Brampton, ON L6R 0P6	Phone Services	01/08/2018	300
Amanjit Khroad	1 Venue Road, Brampton, ON, L6P 0H7	Printing and stationary	22/10/2018	700
				7500



**SHCPA Professional Corporation**  
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Markham ON, L3R 9X8

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**INDEPENDENT AUDITOR'S REPORT**

**PURSUANT TO SECTION 88.25 OF THE MUNICIPAL ELECTIONS ACT, 1996**

*To City Clerk and Returning Officer, City of Brampton*

**Qualified Opinion**

I have audited the Financial Statement- (Form 4) of Ashman Khroad, Candidate, for the campaign period from June 1, 2018, to December 31, 2018, relating to the election held on October 22, 2018, including Box C: Statement of Campaign Income & Expense and Box D: Calculation of Surplus or Deficit. The financial information has been prepared by Ashman Khroad, the candidate, based on the financial reporting provisions of Section 88.22 of the Municipal Elections Act, 1996.

In my opinion, except for the effect of adjustments, if any, which I might have determined to be necessary had I been able to satisfy myself as to the records as described in the Basis of Qualified Opinion paragraph, these accompanying financial statements present fairly, in all material respects, the income and expenses of the campaign period from June 1, 2018 to December 31, 2018 and the calculation of surplus or deficit in accordance with the accounting treatment described by the section 88.22 of Municipal Elections Act 1996.

**Basis for Qualified Opinion**

I conducted my audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. I am independent of the campaign in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and I have fulfilled my other ethical responsibilities in accordance with these requirements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, my verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of Ashman Khroad, candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and I was not able to determine whether any adjustments might be necessary to income and expenses, and surplus/deficit

### **Basis of Accounting**

Without modifying our qualified opinion, I draw attention to the fact that the financial statement is prepared to assist the candidate to meet the requirements of the Municipal Elections Act, 1996, and as a result, the financial statement may not be suitable for another purpose.

### **Responsibilities of Candidate and Those Charged with Governance for the Financial Statements**

The Candidate is responsible for the preparation and fair presentation of the financial statements in accordance with the financial reporting provisions of section 88.25 of the Municipal Elections Act, 1996 and for such internal control as management determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Those charged with governance are responsible for overseeing the Company's financial reporting process.

### **Auditor's Responsibilities for the Audit of the Financial Statements**

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.

Obtain an understanding of internal control relevant to audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company entity's internal control.

Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.

Evaluate the overall presentation, structure, and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

I communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

I also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

From the matters communicated with those charged with governance, we determine those matters that were of most significance in the audit of the financial statements of the current period and are therefore the key audit matters. We describe these matters in our auditor's report unless law or regulation precludes public disclosure about the matter or when, in extremely rare circumstances, we determine that a matter should not be communicated in our report because the adverse consequences of doing so would reasonably be expected to outweigh the public interest benefits of such communication.]

Brampton, Ontario

March 25, 2019

*SHCPA Professional Corporation*

*Authorized to practice public accounting by  
The Chartered Public Accountants of Ontario*